



3i Financial Investment Services Inc.
Member of the MFDA

CLIENT TRANSACTION FORM

Account # _____

A/C Registration:

- Individual Joint Corporate
 (Joint-AND)

A/C Type:

- Non-Registered Registered / RRSP
 TFSA Spousal RRSP
 RESP LIRA / LRSP / RRIF / LIF
 Leverage Others

REGISTERED NAME ON ACCOUNT

- New Client & New A/C Type Existing Client & New A/C Type Existing Client & Existing A/C Type

Title Last Name First Name SIN #

Title Co-Applicant Last Name Co-Applicant Joint First Name SIN #

PURCHASE REDEEM

Proceeds Payable To: Mail to Client Client's Bank Account (Include VOID cheque) Others _____

PAC/SWP Effective Date: _____ (YYYY / MM / DD) Bi-Monthly Monthly Others _____

PLEASE ATTACH A VOID CHEQUE FOR PAC/SWP. Payments are to be debited from my/our bank account as specified on the attached void cheque. The individual Planholder (referred to as "the undersigned" hereby authorizes and requests the Mutual Fund Manager and/or the Trustee to draw on the account of the undersigned at the named financial institution (the "Bank") as indicated on the attached void cheque, whether the Account continues to be maintained at the named branch or is transferred to another branch of the Bank. The undersigned understands the charges, terms and provisions stated in the Pre-Authorized Debit Plan in accordance with the said provisions of the Fund Manager and/or the Trustee.

Fund Code	Fund Name	Amount	Fee	PAC/SWP Amount	Account No.	Wire Order No.	Date

Distributions to be Reinvested Cash Distributions (attach void cheque) Special Instructions: _____

CHANGES (Please update the KYC section using the Update and Change Form.)

TYPE OF CHANGE(S): SWITCH (Same Fund Co) CONVERSION (Different Fund Co) T2033 ROLLOVER (Different RRSP Fund Co) OTHERS: _____

SWITCH FROM

Fund Code	Fund Name	Amount	Fee	Account No.	Wire Order No.

SWITCH TO

Fund Code	Fund Name	Amount	Fee	Account No.	Wire Order No.

PAYMENT OF REDEMPTION / CONVERSION PROCEEDS

CHEQUE PAYABLE TO: Fund Co. _____
 Others _____

FORWARD CHEQUE TO: Dealer Head Office Attn: **Bob Lau**
(By ICS /SAME DAY COURIER) Others _____

REGULATORY REQUIREMENTS / SIGNATURES AND SWITCH DISCLOSURE

I / we understand and acknowledge the following:

- The trailing sales commission paid to the dealer by the fund company will typically increase for a DSC to FE/LL change.
- The switch/conversion/redemption of units may have tax implications if it is considered a disposition.
- The switch/conversion of matured / free DSC / FE units to another DSC / LL / linked notes / other investment fund will apply a new redemption schedule as shown in the prospectus or information statement of the new funds purchased.

The UNDERSIGNED acknowledges receipt of the current prospectus or summary statement of the fund or investment together with a copy of the latest quarterly report and a signed copy of this order.

IN CONSIDERATION OF 3i FINANCIAL INVESTMENT SERVICES INC. (3i FINANCIAL) (THE DEALER) ACCEPTING THIS ACCOUNT, I AGREE:

- I have read and understand the disclosures (MFDA Client Complaint Disclosure, Leverage Disclosure, and Privacy and the Use of Personal Information Consent Form) provided by 3i Financial.

LTA If yes, confirmation: Phone, Date: _____ Time: _____

Notes: _____

THIS INFORMATION IS FULL AND COMPLETE AND 3i FINANCIAL INVESTMENT SERVICES INC. MAY RELY THEREON UNTIL THE UNDERSIGNED SENDS WRITTEN NOTICE OF ANY MATERIAL CHANGE.

SIGNATURE GUARANTEED

Date: _____

Applicant Signature _____	Date (yyyy-mm-dd) _____
Co-Applicant Signature _____	Date (yyyy-mm-dd) _____

Advisor Name _____	
Advisor Signature _____	
7844 ON	
Dealer/Advisor Code	Date (yyyy-mm-dd) _____

Reviewed And Approved By _____
Branch Manager _____
Date: _____
Entered By _____

Reviewed And Approved By _____
Head Office _____
Review Date _____

DUAL OCCUPATION DISCLOSURE

Your Financial Advisor may be licensed to sell other financial products, such as insurance. He/she may be acting in the capacity of another entity and not in the capacity as an agent of 3i Financial Investment Services Inc. These activities are not supervised by 3i Financial Investment Services Inc. and we are not liable or responsible for the actions of the agent in this other capacity.

DEALER COMPENSATION DISCLOSURE

Commissions are compensated to authorized distributors of mutual fund products in the following way:

Front-Load Funds - Funds that are sold on a sales charge basis (front-end load) requires the sales commission to be deducted from the amount of the purchase order. The net amount of the investment is then invested in securities of the fund at the net asset value.

Deferred-Load Funds - Funds that are sold without an initial sales charge (back-end load) requires no deduction from the amount of your purchase order at the time of purchase, but your investment may be subject to a redemption charge if the securities that you purchase are redeemed within a specific time after purchase. Under the deferred charge method of purchase the distributing or carrying dealer receives a sales commission at the time of purchase arranged by the fund sponsor.

Service Fees (Trailer Commissions) - Generally, a fund sponsor pays to a dealer, service fees or trailer commissions as long as you, the client, maintain your investment in the fund with your dealer. Services fees encourage the dealer to provide on-going services to the clients after the date of purchase, for which no sales commission would otherwise be received. Some fund sponsors do not pay service fees to dealers.

Other Sales Incentives - Many fund sponsors also provide additional sales incentives or compensations to dealers to promote the distribution of their sponsored funds. Sales incentives may include: marketing support programs providing for reimbursement of advertising or promotional expenses incurred in the solicitation of fund sales; sales conferences and educational programs held. All sales incentives are subject to review by 3i Financial Investment Services Inc. and must comply with the requirements of our Standard of Practice (Mutual Fund Section of PPM) and the Rules of the Mutual Fund Dealers Association of Canada "MFDA".

FINANCIAL ADVISOR COMPENSATION DISCLOSURE

Mutual fund companies compensate the dealer, who in turn compensates your Financial Advisor by paying an initial commission and an on-going service fee (Trailer Commissions).

SIGNATURE

I have read the above disclosures,

Applicant Signature

Co-Applicant Signature

Date (yyyy-mm-dd)

Date (yyyy-mm-dd)