



3i Financial Investment Services Inc.
Member of the MFDA

DEALER TRANSFER/CHANGE FORM

Account # _____

A/C Registration:

- Individual Joint Corporate
 (Joint-AND)

A/C Type:

- Non-Registered Registered / RRSP
 TFSA Spousal RRSP
 RESP LIRA / LRSP / RRIF / LIF
 Leverage Others _____

CLIENT INFORMATION

Title Last Name _____ First Name _____ SIN # _____

Title Last Name Co-Applicant _____ First Name Co-Applicant _____ SIN # _____

Address _____ City _____ Province _____ Postal Code _____

Home Phone _____ Work Phone _____ Cell Phone _____

DEALER TRANSFER

PLEASE TRANSFER THE FOLLOWING ACCOUNT: Client Name Account Nominee Account* with _____ A/C# _____

TO A NEW AGENT OF RECORD: Dealer: **3i Financial Investment Services Inc.** Representative: _____

Dealer / Rep Code: **7844 / ON** _____

Fund Company	Fund Company A/C # (if applicable)	Fund Code	Fund Name

*For Nominee accounts, please release Power of Attorney to the respective mutual fund companies, and have the account(s) to be set up in client-name

- Take Transfer Fees if any From Account Please sell all underlying investments and make the cheque payable to _____
- Transfer Fees Paid by Attached Check _____, Please send to:

Receiving Fund Company _____

3i FINANCIAL INVESTMENT SERVICES INC.
9040 Leslie Street, Suite 221,
Richmond Hill, ON L4B 3M4

FUND PURCHASE

Fund Code	Fund Name	Amount	Fee	Account No.	Wire Order No.	Date

- Distributions to be Reinvested Cash Distributions (attach void cheque) Special Instructions: _____

AUTHORIZATION

- LTA If yes, confirmation: Phone, Date: _____ Time: _____

Notes: _____

I hereby request and authorize the transfer of my account and/or investments as described above. Where I have requested a transfer in cash, I authorize the liquidation of all or part of my investments, and agree to pay any fees or charges.

Applicant Signature _____ Date (yyyy-mm-dd) _____

Co-Applicant Signature _____ Date (yyyy-mm-dd) _____

Advisor Name _____

Advisor Signature _____

7844 ON _____

Dealer/Advisor Code _____ Date (yyyy-mm-dd) _____

Reviewed And Approved By _____

Branch Manager _____

Date: _____

Entered By _____

SIGNATURE GUARANTEED

Date: _____

Reviewed And Approved By _____

Head Office _____

Review Date _____

DUAL OCCUPATION DISCLOSURE

Your Financial Advisor may be licensed to sell other financial products, such as insurance. He/she may be acting in the capacity of another entity and not in the capacity as an agent of 3i Financial Investment Services Inc. These activities are not supervised by 3i Financial Investment Services Inc. and we are not liable or responsible for the actions of the agent in this other capacity.

DEALER COMPENSATION DISCLOSURE

Commissions are compensated to authorized distributors of mutual fund products in the following way:

Front-Load Funds - Funds that are sold on a sales charge basis (front-end load) requires the sales commission to be deducted from the amount of the purchase order. The net amount of the investment is then invested in securities of the fund at the net asset value.

Deferred-Load Funds - Funds that are sold without an initial sales charge (back-end load) requires no deduction from the amount of your purchase order at the time of purchase, but your investment may be subject to a redemption charge if the securities that you purchase are redeemed within a specific time after purchase. Under the deferred charge method of purchase the distributing or carrying dealer receives a sales commission at the time of purchase arranged by the fund sponsor.

Service Fees (Trailer Commissions) - Generally, a fund sponsor pays to a dealer, service fees or trailer commissions as long as you, the client, maintain your investment in the fund with your dealer. Services fees encourage the dealer to provide on-going services to the clients after the date of purchase, for which no sales commission would otherwise be received. Some fund sponsors do not pay service fees to dealers.

Other Sales Incentives - Many fund sponsors also provide additional sales incentives or compensations to dealers to promote the distribution of their sponsored funds. Sales incentives may include: marketing support programs providing for reimbursement of advertising or promotional expenses incurred in the solicitation of fund sales; sales conferences and educational programs held. All sales incentives are subject to review by 3i Financial Investment Services Inc. and must comply with the requirements of our Standard of Practice (Mutual Fund Section of PPM) and the Rules of the Mutual Fund Dealers Association of Canada "MFDA".

FINANCIAL ADVISOR COMPENSATION DISCLOSURE

Mutual fund companies compensate the dealer, who in turn compensates your Financial Advisor by paying an initial commission and an on-going service fee (Trailer Commissions).

SIGNATURE

I have read the above disclosures,

Applicant Signature

Co-Applicant Signature

Date (yyyy-mm-dd)

Date (yyyy-mm-dd)