



3i Financial Investment Services Inc.
"Performance Drives Performance"

NEW ACCOUNT APPLICATION FORM

Pre-Approval # _____

A/C Registration:

Individual Joint Corporate
 (Joint-AND)

A/C Type:

Non-Registered Registered / RRSP
 TFSA Spousal RRSP
 RESP LIRA LRSP
 Leverage LIF RRIF

PERSONAL INFORMATION - APPLICANT

Last Name _____ First Name _____ Mr. Mrs. Ms. Miss Dr. Company

Address _____ City _____ Province _____ Postal Code _____

Date of Birth: Year _____ Month _____ Day _____ Social Insurance Number _____ E-mail _____

Home Phone _____ Work Phone _____ Cell Phone _____

CO-APPLICANT

Last Name _____ First Name _____ Mr. Mrs. Ms. Miss Dr. Company

Address _____ City _____ Province _____ Postal Code _____

Date of Birth: Year _____ Month _____ Day _____ Social Insurance Number _____ E-mail _____

Home Phone _____ Work Phone _____ Cell Phone _____

Is Co-Applicant Spouse? Yes No Number of Dependents _____

EMPLOYMENT INFORMATION

Applicant: Employer _____ Type of Business _____
Address _____ Occupation/Position _____

Co-Applicant: Employer _____ Type of Business _____
Address _____ Occupation/Position _____

KNOW YOUR CLIENT (KYC)

Investment Objective: Safety Income Balanced Growth Speculation

Risk Tolerance: Low Medium High

Knowledge of Investments: Novice Fair Good Sophisticated

Time Horizon (Years): Less than 1 1-3 3-5 5-10 10 and over

Individual or Joint Income: Under 25,000 25,000 - 49,999 50,000 - 99,999 100,000 - 250,000 Over 250,000

Household Networth: Under 25,000 25,000 - 49,999 50,000 - 99,999 100,000 - 250,000 Over 250,000

CLIENT IDENTIFICATION

Applicant: Driver's License _____
Passport _____

Co-Applicant: Driver's License _____
Passport _____

BANKING INFORMATION

Applicant: Bank Name _____
Transit Number _____ Account Number _____

WILL ANY OTHER PERSON OR PERSONS

Have Trading Authorization in this Account? Yes No
(If 'Yes', attach) Limited Authorization For Trading
 Power Of Attorney

Have a Financial Interest in this Account? Yes No
(If 'Yes', provide particulars) _____

ACKNOWLEDGEMENT

By signing the New Account Application Form, I hereby acknowledge that 3i Financial Investment Services Inc. in its capacity as a licensed Mutual Fund Dealer has provided me with the following disclosures:

1. MFDA Client Complaint Information
2. Privacy and the Use of Personal Information Consent Form
3. Risks of Borrowing for Purchasing Investments (Leveraging)
4. Financial Resources Required for Investments Purchased with Borrowed Funds
5. Dual Occupation
6. Dealer/Financial Advisor Compensation
7. A Current Prospectus or Summary Statement of the Fund/Investment

I/We have received and understood the Client Disclosure hand-out before signing the application.

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Applicant's Signature _____ Date (yyyy-mm-dd) _____ Advisor's Name _____ Dealer/Advisor Code _____ Branch Manager _____ Date (yyyy-mm-dd) _____

Co-Applicant's Signature _____ Date (yyyy-mm-dd) _____ Advisor's Signature _____ Date (yyyy-mm-dd) _____ Head Office _____ Date (yyyy-mm-dd) _____

Financial Advisor Dual Occupation Disclosed

As a Financial Advisor licensed with 3i Financial Investment Services Inc, it is my responsibility to provide my client(s) with full and fair disclosure on the type of service(s) that I can provide and through which company that I provide these service(s) through. This will provide the client(s) with the necessary information before utilizing my service(s).

3i Financial Investment Services Inc. is a member of the Mutual Fund Dealers Association (MFDA) licensed to distribute mutual funds in the province of Ontario, Canada.

_____ is a Financial Advisor of 3i Financial Investment Services Inc., who also provides the following product(s)/service(s):

_____ through _____
Type of Service Name of Company

_____ through _____
Type of Service Name of Company

_____ through _____
Type of Service Name of Company

This disclosure was made on the Client Disclosure hand-out, any activities relating to these services are not the business of 3i Financial Investment Services Inc. and are not supervised by 3i Financial Investment Services Inc.; both 3i Financial Investment Services Inc. and the MFDA are not responsible for such activities.

Client Acknowledgement:

_____ Applicant Signature Co-Applicant Signature

_____ Date (yyyy-mm-dd) Date (yyyy-mm-dd)